



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,935	01/09/2002	Yonglin Huang	5717-01601

B. Noel Kivlin
Conley, Rose, & Tayon. P.C.
P.O. Box 398
Austin, TX 78767



CONFIRMATION NO. 4597

FORMALITIES LETTER



OC000000007461363

COPY OF PAPERS
ORIGINALLY FILED

Date Mailed: 02/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

T. Bryant
Customer Service Center

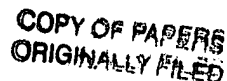
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 BABRAHA1 00000011 501505 10042935

01 FC:101 740.00 CH
02 FC:105 130.00 CH

FEB 19 2002



#

\$mf

Group Art Unit: 2873

Examiner: Unknown

Serial No. 10/042,935

Atty. Dkt. No.: 5717-01601

Filed: January 9, 2002

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date indicated below:

**For: Fiber Optical Devices with
High Power Handling Capability**

B. Noel Kivlin
~~Name of Registered Agent~~

4-12-02
Date

Signature _____

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is the following with regard to the above-identified application:

- (a) An Assignment of the invention executed by the inventor(s).
- (b) A combined Declaration and Power of Attorney executed by the inventor(s)
- (c) A Fee Authorization in the amount of \$910.00 to cover the filing fee for large entity (\$740.00), assignment (\$40.00), and surcharge for late filing of oath or declaration for large entity (\$130.00).
- (d) A copy of Notice to File Missing Parts of Application Filing Date Granted.

- (e) A return postcard to acknowledge receipt of these materials. Please stamp and return this postcard to the undersigned.

If any fees are inadvertently omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5717-01601/BNK.

Respectfully submitted,



B. Noël Kivlin
Reg. No. 33,929
Attorney for Applicants

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph: (512) 476-1400
Date: 4-12-02

COPY OF PAPERS
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Yonglin Huang, Kevin Zhang,
and Ping Xie

Serial No. 10/042,935

Filed: January 9, 2002

For: Fiber Optical Devices with
High Power Handling Capability

§ Group Art Unit: 2873

§ Examiner: Unknown

§ Atty. Dkt. No.: 5717-01601

§ I hereby certify that this correspondence is being deposited with
§ the U.S. Postal Service as First Class Mail in an envelope
§ addressed to: Commissioner of Patents and Trademarks,
§ Washington, D.C. 20231, on the date indicated below:

§ B. Noël Kivlin
§ Registered Representative

§ 4-12-02
§ Date

§ Signature

FEE AUTHORIZATION

Commissioner for Patents
Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 50-1505/5717-01601/BNK:

Basic Fee	\$740.00
Assignment Fee	\$40.00
Total	\$910.00

Attorney Docket No.: 5717-01601

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 50-1623/5717-01601/BNK.

Respectfully submitted,

B. Noël Kivlin
Reg. No. 33,929
Attorney for Applicants

Conley, Rose & Tayon, P.C.
P.O. Box 398
Austin, Texas 78767-0398
Ph: (512) 476-1400
Date: 4-12-02